

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

071971-0547

U.S. APPLIC. NO. (if known, see 37 CFR 1.15)
Not yet assigned

INTERNATIONAL APPLICATION NO PCT/JP2005/009265	INTERNATIONAL FILING DATE May 20, 2005	PRIORITY DATE CLAIMED June 3, 2004
TITLE OF INVENTION SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SAME		
APPLICANTS FOR DO/EO/US Atsushi IKEDA, Hideo NAKAGAWA, Nobuo AOI		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4.	<input checked="" type="checkbox"/> The US has been elected (Article 31).	
5.	<input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)	
6.	<input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).	
7.	<input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.	
8.	<input type="checkbox"/> An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).	
9.	<input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	
10.	<input type="checkbox"/> An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).	
Items 11. to 16. below concern other document(s) or information included:		
11.	<input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	
12.	<input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input checked="" type="checkbox"/> A preliminary amendment.	
14.	<input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.	
15.	<input type="checkbox"/> A substitute specification.	
16.	<input type="checkbox"/> A power of attorney and/or change of address letter.	
17.	<input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.	
18.	<input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).	
19.	<input type="checkbox"/> A second copy of the English translation of the International Application under 35 U.S.C. 154(d)(4).	
20.	<input checked="" type="checkbox"/> Other items or information. 1) Front Page of International Application 2) International Search Report and English Translation thereof 3) PCT/ISA/237 4) PCT/IB/301 5) PCT/IB/304 6) PCT Request	

U.S. APPLIC. NO. (if known, see 37 CFR 1.50) Not yet assigned 80/578094		INTERNATIONAL APPLICATION NO. PCT/JP2005/009265		ATTORNEY'S DOCKET NUMBER 071971-0547																					
				CALCULATIONS	PTO USE ONLY																				
21. <input checked="" type="checkbox"/> The following fees are submitted:																									
<input checked="" type="checkbox"/> Basic National Stage Fee \$300 \$300.00																									
22. <input checked="" type="checkbox"/> Examination Fee If the written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200 \$200.00																									
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB \$400 All other situations \$500																									
TOTAL OF 21, 22 and 23 = \$900.00																									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																									
Total Sheets	Extra Sheets	Number of each additional 50 or fraction Thereof (round up to a whole number)	RATE																						
52-100	0/50=	0	X\$250 \$0																						
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$0																									
CLAIMS NUMBER FILED NUMBER EXTRA RATE																									
Total Claims		24-20 =	4	x \$50.00	\$200.00																				
Independent Claims		2-3 =	0	x \$200.00	\$0																				
Multiple dependent claim(s) (if applicable)				+ \$360.00	\$0																				
TOTAL OF ABOVE CALCULATIONS = \$1100.00																									
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$																									
SUBTOTAL = \$1100.00																									
Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + \$0																									
TOTAL NATIONAL FEE = \$1100.00																									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$0																									
TOTAL FEES ENCLOSED = \$1100.00																									
Amount to be refunded \$																									
Amount to be charged \$1100.00																									
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.																									
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>500417</u> in the amount of <u>\$1100.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.																									
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>500417</u> . A duplicate copy of this sheet is enclosed.																									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																									
SEND ALL CORRESPONDENCE TO: Customer Number 20277 Telephone: 202.756.8000 Facsimile: 202.756.8087																									
May 3, 2006																									
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center; padding: 2px;">SIGNATURE</td> <td colspan="2" style="text-align: center; padding: 2px;">DATE</td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">Michael E. Fogarty</td> <td colspan="2" style="text-align: center; padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">NAME</td> <td colspan="2" style="text-align: center; padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">36,139</td> <td colspan="2" style="text-align: center; padding: 2px;"></td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">REGISTRATION NUMBER</td> <td colspan="2" style="text-align: center; padding: 2px;"></td> </tr> </table>						SIGNATURE		DATE		Michael E. Fogarty				NAME				36,139				REGISTRATION NUMBER			
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